Strategic Plan – Initiative Work Plan FY2016

Initiative Name Enhance Grants and Contracts Revenue   **Date** May 31, 2015

Goal # 5   **Goal Chair(s)**   **Greg Good & Lynn Maurer**

Initiative # 1   **Initiative Chair(s)**   **Lynn Maurer & Dawn Underwood**

Thesis Statement The purpose of this initiative is to increase grant and contract revenue to ISU through faculty and student research.

1. Introduction/Background – What?

As a high undergraduate university that is also a doctoral research University (Carnegie classification M4/R), Indiana State University supplements its traditional funding (state and tuition) with research grants and contracts revenue. Our potential for increasing this revenue will be enhanced by support for our faculty in these endeavors. This year's initiative builds upon and learns from last year's initiatives.

2. Proposal/Purpose/Justification – Why?

Increase Grants and Contract Revenue

- Increase the number of proposal submissions

- Help build interdisciplinary research teams

- Encourage new faculty to submit grants as practice

- Encourage, reward and recognize grant efforts

- Encourage external grants over internal grant dependency

3. Discussion of Past Years Results – Benchmark Successes?

COMPETE

The most promising of the grant endeavors is the COMPETE program. Through COMPETE, strategic plan seed funding is used to develop pilot data for major external grant proposals. Four rounds of COMPETE awards have been issued since FY14. This program requires repayment of the grant funds by the department if the researcher does not submit grant proposals totaling at least 10 times the amount awarded. New faculty members (before midpoint to tenure) only need to repay half of SP funds if external proposal goals are not met. Given the repayment obligations of these awards, grant submissions totaling $385,000 are anticipated by September 2015. The table below summarizes the COMPETE awards made to date and the anticipated effect on external grant proposals.

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COMPETE PROGRAM	SP funds awarded	External funds to be requested	Date of submission of external grants or repayment of SP funds
FY14 Round 1 (Feb 2014)	$38,500	$385,000	September 2015
FY14 Round 2 (June 2014)	30,000	$300,000	January 2016
FY15 Round 1 (Feb 2015)	$59,220	$592,200	September 2016
FY15 Round 2 (June 2015)	$34,849	$348,490	January 2017
TOTALS	**$162,569**	**$1,625,690**	

Collaborate

Although the Collaborate speed networking events were well attended, only 1 small external grant proposal can be attributed to that program. Therefore, no funds are requested in FY16 for Collaborate.

URC/UAEC Changes

In FY15, the University Research Committee (URC) grant program and the University Arts Endowment Grant (UAEC) program guidelines were edited to encourage proposals to external funding agencies. Over the longer term, this change will reduce dependency on internal grant programs and result in an increase in external grant proposals submitted. No strategic plan funds were needed to implement this change.

Recognition Program

The Recognition Program included letters and coins from President Bradley to faculty who were successful in attaining external grants and contracts.

4. Work Plan, Next Fiscal Year – Action Steps – Process – How?

1. Continue the COMPETE program
2. Continue the recognition program (letters and medals)

5. Reporting and Deliverable Schedule – When?

COMPETE

October 2015 – Fall proposals accepted for COMPETE

December 2015 – Fall awards announced

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March 2016 – Spring proposals accepted for COMPETE

May 2016 – Spring awards announced

RECOGNITION MEDALS AND LETTERS

Year round

6. Budget – How Much, a General Discussion of Funds Use?

COMPETE - $100,000 – Funds are used to develop pilot data sets to increase the competitiveness of large proposals to federal and state agencies. The awards can be up to 10,000 each so we anticipate granting up to 10 awards. Awardees must apply for at least 10 times the amount of their award in external grants
RECOGNITION – No funds requested

7. Stakeholders and Management Plan – Who?

COMPETE: Chief Research Officer and Office of Sponsored Programs.

Recognition Letters: Chief Research Officer and President's Office

Faculty

8. Outcome Assessment & Future Testing
We will know that we are successful if internal grant submissions serve as seed grants to increase external grant submissions, and if we are successful in bringing in more grant monies.

9. Line Item Budget Discussion that tracks Budget Templates

COMPETE - $100,000 – Awardees must apply for at least 10 times the amount of their award.
RECOGNITION – No funds requested