# Strategic Plan – Initiative Work Plan FY2015

**Initiative Name** <u>Enhance Grants and Contracts Revenue</u>          **Date** <u>May 30, 2014</u>

**Goal #** <u> 5</u>                                      **Goal Chair(s)**          <u>**Greg Good & Lynn Maurer**</u>

**Initiative #** <u>1</u>                          **Initiative Chair(s)**          <u>**Lynn Maurer**</u>

**Thesis Statement** The purpose of this initiative is to increase grant and contract revenue to ISU through faculty and student research.

## 1. Introduction/Background – What?

As a high undergraduate university that is also a doctoral research University (Carnegie classification M4/R), Indiana State University supplements its traditional funding (state and tuition) with research grants and contracts revenue.  Our potential for increasing this revenue will be enhanced by support for our faculty in these endeavors.  This year's initiative builds upon and learns from last year's initiatives.

## 2. Proposal/Purpose/Justification – Why?
Increase Grants and Contract Revenue

- Increase the number of proposal submissions

- Help build interdisciplinary research teams

- Encourage new faculty to submit grants as practice

- Encourage, reward and recognize grant efforts

- Encourage external grants over internal grant dependency

## 3. Discussion of Past Years Results – Benchmark Successes?

FY14 actions included two new awards, a faculty fellow to coordinate the award process, and a new program to recognize grant efforts through "coins" and letters from President Bradley.

<u>COMPETE</u>
The most successful of the grant endeavors was the COMPETE Award.  The total COMPETE amount awarded in fall of 2013 was $38,500 and in the Spring 2014, $30,00 for a total of $78,500.  This program requires repayment of the grant funds by the department if the researcher does not submit grant proposals totaling at least 10 times the amount awarded. Given the repayment obligations of these awards, grant submissions totaling $385,000 are anticipated by March 15, 2016. Last year's results (15 submissions) are shown in the benchmark table below.

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| Benchmarks | FY 2012 Actual | FY 2013 Actual | FY 2013 Goal | FY 2014 Actual | FY 2014 Goal | FY 2015 | FY 2016 | FY 2017 |
|---|---|---|---|---|---|---|---|---|
| COMPETE Applications (No COMPETE competition in FY16) | 0 | 0 | 0 | 15 | 15 | 15 | 0 | 10 |
| COMPETE Awardee Proposal Submissions | 0 | 0 | 0 | nd | 5 | 10 | 15 | 20 |
| COMPETE Awardee Awards | 0 | 0 | 0 | nd | 0 | 2 | 5 | 7 |
| RESUBMIT Incentive Proposals | 0 | 0 | 0 | 2 | 8 | 15 | 15 | 15 |
| RESUBMIT Incentive Proposals Funded | 0 | 0 | 0 | 2 | 1 | 3 | 7 | 7 |
| Total RESUBMIT Proposals (and/or submissions derivative of declined proposals) | 0 | 0 | 0 | 2 | 10 | 15 | 20 | 25 |

RESUBMIT

The RESUBMIT grant was a less successful competition. Faculty who had been unsuccessful in their first attempt to procure a grant were eligible to apply for $500.00 in funds upon resubmission of their proposal. Although many faculty submitted their proposal a second time in some form, only two applied for the monies, showing that they were not an effective incentive.

Faculty Fellow position

The Faculty Fellow provided grant assistance, and managed the COMPETE and RESUBMIT programs. This was a successful endeavor that we propose to renew.

Recognition Program

The Recognition Program included letters and coins from President Bradley to faculty who were successful in attaining external grants and contracts.

4. **Work Plan, Next Fiscal Year – Action Steps – Process – How?**

   1. COMPETE: Keep the COMPETE award with some incentives to encourage pre-tenure faculty to apply for external grants.
      a. New faculty before midpoint to tenure only need to show half repayment of funds (Effort to increase proposals).
      b. External reviewers will be paid per proposal, instead of one large lump sum.
   2. Replace RESUBMIT with a new initiative: COLLABORATE
      a. For interdisciplinary group grants *submitted,* all PIs and Co-PIs of the group will receive $500.00 for further research and grant development.
      b. These must arise from one of a series of four *Speed Networking* events which will be sponsored by OSP/CGPS. In these events, faculty present their research goals, methodological skills, and grant area interests with a profile sheet and a few minutes to present themselves. All participants will be provided with available grant

possibilities.  Teams 1) formed from this event who 2) successfully submit a proposal will be eligible for the COLLABORATE grant.

3. Faculty fellow – duties somewhat reduced.  The faculty fellow will organize COMPETE for a stipend (with no course release). This faculty fellow will work closely with the office of Sponsored Programs in encouraging Institutional grants.
4. Internal Grants will become *seed grants for external submissions.*  Recipients of an internal grant who are in their fourth year or above will be expected to submit a proposal for an external grant before receiving another internal grant.
5. We will keep the recognition program (letters and medals)


## 5.  Reporting and Deliverable Schedule – When?

**COMPETE**

October 2014 – Fall proposals accepted for COMPETE

December 2014 – Fall awards announced

March 2015 – Spring proposals accepted for COMPETE

May 2015 – Spring awards announced

**COLLABORATE**

Fall 2014 – Speed Networking events will be held & support given through OSP for grant proposals

Spring 2014 – Grants for submissions

**RECOGNITION MEDALS AND LETTERS**

Year round


## 6.  Budget – How Much, a General Discussion of Funds Use?

**COLLABORATE –** $10,000 for a maximum of 20 grants for interdisciplinary group submissions based on the Speed Networking events.

**Faculty Fellow** – An administrative stipend of $4,000 a semester with no course release to manage the COMPETE and RESUBMIT awards and assist with the Speed networking events.

**COMPETE -** $100,000 – Awardees must apply in external grants for at least 10 times the amount of their award.

**SPEED NETWORKING EVENTS -** $250.00 for venue and refreshments

**RECOGNITION** – No funds requested


## 7.  Stakeholders and Management Plan – Who?

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**Chief Research Officer and Office of Sponsored Programs**

**President's Office (recognition letters)**

**Faculty**


## 8. Outcome Assessment & Future Testing
We will know that we are successful if internal grant submissions serve as seed grants to increase external grant submissions, and if we are successful in bringing in more grant monies.

## 9. Line Item Budget Discussion that tracks Budget Templates …

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